

## Goodwin 2021-2022 Year-End Tool Kit

### SEC FILING DEADLINES AND HOLIDAY CALENDAR – 2022 NOVEMBER 2021

<u>Date/Due Date</u>	<u>Holiday</u>	<u>Form Type</u>	<u>Notes</u>
<b>December 2021</b>			
December 31, 2021	New Year's Day (SEC, NYSE, Nasdaq)		
<b>January</b>			
January 17	Martin Luther King, Jr. Day (SEC, NYSE, Nasdaq)		
<b>February</b>			
February 14		Form 5 Schedule 13G and Schedule 13G/A	
February 21	Presidents' Day (Washington's Birthday) (SEC, NYSE, Nasdaq)		
<b>March</b>			
March 1		Form 10-K (Large Accelerated Filers)	
March 16		Form 10-K (Accelerated Filers)	
March 31		Form 10-K (Filers that are not Accelerated or Large Accelerated Filers)	
<b>April</b>			
April 15	Good Friday (NYSE and Nasdaq only)		

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<b>May</b>			
May 2 <i>(120 days after fiscal year end. April 30, 2022 is a Saturday; next business day is Monday, May 2.)</i>		File definitive Proxy Statement (if Part III is incorporated by reference into Form 10-K – all filers)	File 10-K/A amendment if definitive proxy statement not filed. Filing deadline cannot be extended by filing a Form 12b-25.
May 10		Form 10-Q (Large Accelerated Filers)	
May 10		Form 10-Q (Accelerated Filers)	
May 16		Form 10-Q (Filers that are not Accelerated or Large Accelerated Filers)	
May 30	Memorial Day (SEC, NYSE and Nasdaq)		
May 31		Form SD (Conflict Minerals)	(Due May 31 unless Saturday, Sunday or holiday)
<b>June</b>			
June 20	Juneteenth National Independence Day (SEC, NYSE and Nasdaq)		
June 29		Form 11-K (All filers with December 31 plan year end if the plan is not subject to ERISA)	
<b>July</b>			
July 4	Independence Day (SEC, NYSE and Nasdaq)		
<b>August</b>			
August 9		Form 10-Q (Large Accelerated Filers)	
August 9		Form 10-Q (Accelerated Filers)	

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August 15		Form 10-Q (Filers that are not Accelerated or Large Accelerated Filers)	
<b>September</b>			
September 5	Labor Day (SEC, NYSE and Nasdaq)		
<b>October</b>			
October 10	Columbus Day (SEC only)		
<b>November</b>			
November 9		Form 10-Q (Large Accelerated Filers)	
November 9		Form 10-Q (Accelerated Filers)	
November 11	Veterans Day (SEC only)		
November 14		Form 10-Q (Filers that are not Accelerated or Large Accelerated Filers)	
November 24	Thanksgiving (SEC, NYSE and Nasdaq)		NYSE and Nasdaq: early close 1 p.m. ET on the Friday following Thanksgiving
<b>December</b>			
December 26	Christmas Day (SEC, NYSE and Nasdaq)		No early close for stock exchanges – December 24 is not a trading day
<b>January 2023</b>			
January 2, 2023	New Year's Day (SEC, NYSE and Nasdaq)		

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