

Goodwin 2021-2022 Year-End Tool Kit

SEC FILING DEADLINES AND HOLIDAY CALENDAR – 2022 NOVEMBER 2021

<u>Date/Due Date</u>	<u>Holiday</u>	<u>Form Type</u>	<u>Notes</u>
December 2021			
December 31, 2021	New Year's Day (SEC, NYSE, Nasdaq)		
January			
January 17	Martin Luther King, Jr. Day (SEC, NYSE, Nasdaq)		
February			
February 14		Form 5 Schedule 13G and Schedule 13G/A	
February 21	Presidents' Day (Washington's Birthday) (SEC, NYSE, Nasdaq)		
March			
March 1		Form 10-K (Large Accelerated Filers)	
March 16		Form 10-K (Accelerated Filers)	
March 31		Form 10-K (Filers that are not Accelerated or Large Accelerated Filers)	
April			
April 15	Good Friday (NYSE and Nasdaq only)		
April 30		Proxy Statement (if incorporated by reference into Form 10-K – all filers)	File 10-K/A amendment if proxy statement not filed. Filing deadline cannot be extended by filing a Form 12b-25.

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May			
May 10		Form 10-Q (Large Accelerated Filers)	
May 10		Form 10-Q (Accelerated Filers)	
May 16		Form 10-Q (Filers that are not Accelerated or Large Accelerated Filers)	
May 30	Memorial Day (SEC, NYSE and Nasdaq)		
May 31		Form SD (Conflict Minerals)	(Due May 31 unless Saturday, Sunday or holiday)
June			
June 20	Juneteenth National Independence Day (SEC, NYSE and Nasdaq)		
June 29		Form 11-K (All filers with December 31 plan year end if the plan is not subject to ERISA)	
July			
July 4	Independence Day (SEC, NYSE and Nasdaq)		
August			
August 9		Form 10-Q (Large Accelerated Filers)	
August 9		Form 10-Q (Accelerated Filers)	
August 15		Form 10-Q (Filers that are not Accelerated or Large Accelerated Filers)	

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September			
September 5	Labor Day (SEC, NYSE and Nasdaq)		
October			
October 10	Columbus Day (SEC only)		
November			
November 9		Form 10-Q (Large Accelerated Filers)	
November 9		Form 10-Q (Accelerated Filers)	
November 11	Veterans Day (SEC only)		
November 14		Form 10-Q (Filers that are not Accelerated or Large Accelerated Filers)	
November 24	Thanksgiving (SEC, NYSE and Nasdaq)		NYSE and Nasdaq: early close 1 p.m. ET on the Friday following Thanksgiving
December			
December 26	Christmas Day (SEC, NYSE and Nasdaq)		No early close for stock exchanges – December 24 is not a trading day
January 2023			
January 2, 2023	New Year's Day (SEC, NYSE and Nasdaq)		

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