**SEC Filing Deadlines and Holiday Calendar – 2023**

**November 2022**

| **Date/Due Date** | **Holiday** | **Form Type** | **Notes** |
| --- | --- | --- | --- |
| **December 2022** | | | |
| January 2 | New Year’s Day (SEC, NYSE, Nasdaq) |  |  |
| **January 2023** | | | |
|  |  |  |  |
| January 16 | Martin Luther King, Jr. Day (SEC, NYSE, Nasdaq) |  |  |
| **February** | | | |
| February 14 |  | Form 5 Schedule 13G and Schedule 13G/A |  |
| February 20 | Presidents’ Day (Washington’s Birthday) (SEC, NYSE, Nasdaq) |  |  |
| **March** | | | |
| March 1 |  | Form 10-K (Large Accelerated Filers) |  |
| March 16 |  | Form 10-K (Accelerated Filers) |  |
| March 31 |  | Form 10-K (Filers that are not Accelerated or Large Accelerated Filers) |  |
| **April** | | | |
| April 7 | Good Friday (NYSE and Nasdaq only) |  |  |
| **May** | | | |
| May 1  *(120 days after fiscal year end. April 30, 2023 is a Sunday; next business day is Monday, May 2.)* |  | File definitive Proxy Statement (if Part III is incorporated by reference into Form 10-K) – all filers | File 10-K/A amendment if definitive proxy statement not filed. Filing deadline cannot be extended by filing a Form 12b-25. |
| May 10 |  | Form 10-Q (Large Accelerated Filers) |  |
| May 10 |  | Form 10-Q (Accelerated Filers) |  |
| May 16 |  | Form 10-Q (Filers that are not Accelerated or Large Accelerated Filers) |  |
| May 29 | Memorial Day (SEC, NYSE and Nasdaq) |  |  |
| May 31 |  | Form SD (Conflict Minerals) | (Due May 31 unless Saturday, Sunday or holiday) |
| **June** | | | |
| June 19 | Juneteenth National Independence Day (SEC, NYSE and Nasdaq) |  |  |
| June 29 |  | Form 11-K (All filers with December 31 plan year end if the plan is not subject to ERISA) |  |
| **July** | | | |
| July 4 | Independence Day (SEC, NYSE and Nasdaq) |  |  |
| **August** | | | |
| August 9 |  | Form 10-Q (Large Accelerated Filers) |  |
| August 9 |  | Form 10-Q (Accelerated Filers) |  |
| August 14 |  | Form 10-Q (Filers that are not Accelerated or Large Accelerated Filers) |  |
| **September** | | | |
| September 4 | Labor Day (SEC, NYSE and Nasdaq) |  |  |
| **October** | | | |
| October 9 | Columbus Day (SEC only) |  |  |
| **November** | | | |
| November 9 |  | Form 10-Q (Large Accelerated Filers) |  |
| November 9 |  | Form 10-Q (Accelerated Filers) |  |
| November 10 | Veterans Day (SEC only) |  |  |
| November 14 |  | Form 10-Q (Filers that are not Accelerated or Large Accelerated Filers) |  |
| November 23 | Thanksgiving (SEC, NYSE and Nasdaq) |  | NYSE and Nasdaq: early close 1 p.m. ET on the Friday following Thanksgiving |
| **December** | | | |
| December 25 | Christmas Day (SEC, NYSE and Nasdaq) |  | No early close for stock exchanges – December 24 is not a trading day |
| **January 2024** | | | |
| January 1, 2024 | New Year’s Day (SEC, NYSE and Nasdaq) |  |  |

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